From sessions to conversations and back again

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Historic vs Session-based Personalization



 Bennett, P. N., White, R. W., Chu, W., Dumais, S. T., Bailey, P., Borisyuk, F., & Cui, X. Modeling the impact of short-and long-term behavior on search personalization. SIGIR 2012

What to expect from a search agent?

1. Profile users



2. Account for session history



3. Ask clarifying questions



4. Decide Next Action

- 1. Provide ranking of document/passages
- 2. Provide direct answer
- 3. Ask clarifying question
 - 1. Relevance over items/documents
 - 2. Natural language questions
 - 3. Suggested questions

Decide what is the optimal next action to take



1. Profile users



Estimate relevance



C Van Gysel, M de Rijke, E Kanoulas: Neural Vector Spaces for Unsupervised Information Retrieval. *TOIS 2018* C Van Gysel, M de Rijke, E Kanoulas: Learning Latent Vector Spaces for Product Search. *CIKM 2016*

<u>Challenge</u>: Training complex models



Long Answer:

Question:

where is the world s largest ice

The Antarctic ice sheet is the largest single mass of ice on Earth . It covers an area of almost 14 million km and contains 30 million km of ice . Around 90 % of the Earth's ice mass is in Antarctica , which , if melted , would cause sea levels to rise by 58 meters . The continent - wide average surface temperature trend of Antarctica is positive and significant at > 0.05 ° C / decade since 1957 .

Extractive phrase Sentence Entire Passage

<u>Challenge</u>: Training complex models



<u>Challenge</u>: Training complex models

Open-domain Complex QA

Model	Encoder	# GPU	# Epochs	Batch size	# Gradient accumulation steps	TR@2	TR@10	TR@20
MDR (full)	RoBERTa	$8 \times 32 \text{GB}$	50	150	1	0.678	0.773	0.794
MDR	RoBERTa	$8 \times 32 \text{GB}$	50	150	1	0.637	0.742	0.772
	RoBERTa	4×24 GB	50	28	1	0.607	0.711	0.736
	RoBERTa	$4 \times 24 \text{GB}$	25	28	1	0.551	0.668	0.698
	RoBERTa	4×24 GB	20	28	1	0.537	0.660	0.688
	RoBERTa	$1 \times 12 \text{GB}$	25	4	32	0.441	0.581	0.620
	BERT	$1 \times 12 \text{GB}$	25	4	32	0.421	0.560	0.597
	DistilBERT	$1 \times 12 \text{GB}$	25	4	32	0.268	0.411	0.454

✓ G Sidiropoulos, N Voskarides, S Vakulenko, E Kanoulas, Analysing Dense Passage Retrieval for Multi-Hop Questions. (*under review*)

2. Account for session history



User asking questions

- Session-oriented search
- Task-oriented search
- Conversational search

- 1. legality alcohol marijuana
- 2. legality alcohol marijuana history
- 3. legality alcohol history
- 4. why is marijuana illegal?
- 5. is marijuana worse for your health than alcohol?

✓ B Carterette, P Clough, M Hall, E Kanoulas, M Sanderson: The TREC Session Track 2011-2014. SIGIR 2016
 ✓ C Van Gysel, E Kanoulas, M de Rijke: Lexical Query Modeling in Session Search. ICTIR 2016

User asking questions

- Session-oriented search
- Task-oriented search
- Conversational search

- 1. What are the different types of hydroponic systems to choose from, their pros and cons?
- 2. What hydroponic system are used in commercial greenhouses?
- 3. What material is needed to build a hydroponic system myself?
- 4. Which plants can be grown in a hydroponics system?
- 5. How long does it take to grow them?
- 6. What nutrients to test the water for?
- 7. ...

✓ E Kanoulas, E Yilmaz, R Mehrotra, B Carterette, N Craswell, P Bailey: TREC 2017 Tasks Track Overview. *TREC* 2017

User asking questions

- Session-oriented search
- Task-oriented search
- Conversational search

1	who formed saosin ?
2	when was the band founded?
3	what was their first album?
4	when was the album released?

Query resolution in conversational search

Turn Query

1	who formed saosin ?
2	when was the band founded?
3	what was their first album?
4	when was the album released?

Turn	Query
1	who formed saosin ?
2	when was the band founded?
3	what was their first album?
4	when was the album released?
	<i>resolved:</i> when was saosin 's first album released?

✓ N Voskarides, D Li, P Ren, E Kanoulas and M de Rijke, Query Resolution for Conversational Search with Limited Supervision. *SIGIR* 2020



Relevant passage to turn #4: The original lineup for **Saosin**, consisting of Burchell, Shekoski, Kennedy and Green, was formed in the summer of 2003. On June 17, the **band** released their **first** commercial production, the EP Translating the Name.

✓ N Voskarides, D Li, P Ren, E Kanoulas and M de Rijke, Query Resolution for Conversational Search with Limited Supervision. *SIGIR* 2020

Challenge: From document ranking to

answer generation

Information need: The Bronze Age collapse and the transition into a dark age

Tell me about the Bronze Age collapse.

Bronze and Iron Age Isle of Wight Bronze Age Britain had large reserves of tin in the areas of Cornwall and Devon and tin is necessary to smelt bronze. At that time the sea level was much lower and carts of tin were brought across the Solent at low tide for export, possibly on the Ferriby Boats.

Step 1: rewrite the current query if necessary.

Tell me about the Bronze Age collapse.

Step 2: list all supporting spans.

1. Bronze Age Britain had large reserves of tin in...

2. A shortage of tin during the Bronze Age collapse...

Step 3: formulate a short conversational response by summarizing the supporting spans.

It may be because of a shortage of tin, that is...

✓ P. Ren, Z. Chen, Z. Ren, E. Kanoulas, C.Monz M. de Rijke Conversations with Search Engines: Datasets & Baselines, *TOIS* 2020

<u>Challenge</u>: From document ranking to answer generation

New SCAI'21 Conversational Question Answering Challenge: <u>https://scai.info/</u>

- 1. Question rewriting;
- 2. Document retrieval; and
- 3. Question answering.

✓ Anantha, R., Vakulenko, S., Tu, Z., Longpre, S., Pulman, S. and Chappidi, S., 2020. Open-Domain Question Answering Goes Conversational via Question Rewriting. arXiv preprint arXiv:2010.04898.

<u>Challenge</u>: Large-scale dynamic collection

- Automate corpus creation (1h/topic)
 - Question generation
 - Answer relevance
- User simulator
 - Question conditioned on previous answer

 S Vakulenko et. al, Generating Topic-based Question Sequences for an Automated Evaluation of Conversational Passage Ranking. (work in progress)

<u>Challenge</u>: Large-scale dynamic collection

- 1. what is the speed of a mako shark
- 2. what do mako sharks eat
- 3. where does the longfin mako live
- 4. where do bull sharks live
- 5. what kind of teeth do sharks have
- 6. how long do hammerhead sharks live
- 7. how long do sandbar sharks live
- 8. how long do tiger sharks live
- 9. what sharks are endangered
- 10. what is the location of the tiger shark
- 11. what is the biggest great white shark

- 1. what are the different types of sharks
- 2. are sharks endangered if so which species
- 3. tell me more about tiger sharks
- 4. what is the largest shark ever to have lived on Earth
- 5. what's the biggest shark ever caught
- 6. what about for great whites
- 7. tell me about mako sharks
- 8. what are make shark adaptations
- 9. where do mako sharks live
- 10. what do mako sharks eat
- 11. how do mako sharks compare with tiger sharks for being dangerous

<u>Challenge</u>: Large-scale dynamic collection

- 1. what are red blood cells
- 2. how are red blood cells created
- 3. how is oxygen transported in blood
- 4. what is anemia
- 5. what are the symptoms of anemia
- 6. can anemia go away
- 7. what are anemia possible causes

- 1. does anemia cause fatigue
- 2. causes of anemia in men
- 3. can anemia cause death
- 4. what is normocytic anemia
- 5. symptoms of anemia in children
- 6. what is the treatment for iron deficiency anemia
- 7. red blood cell disorder symptoms
- 8. what causes low red blood cells
- 9. causes of low iron levels in blood
- 10. where are red blood cells found
- 11. what food is high in iron

3. Asking clarifying questions



Generate and select clarifying questions



Product works and looks like new. Backed by the 90-day Amazon Renewed Guarantee.

Renewed products work and look like new. These pre-owned products are not Apple certified but have been inspected and tested by Amazon-qualified suppliers. Box and accessories (no headphones included) may be generic. Wireless devices come with the 90-day Amazon Renewed Guarantee. Learn more

- Fully unlocked and compatible with any carrier of choice (e.g. AT&T, T-Mobile, Sprint, Verizon, US-Cellular, Cricket, Metro, etc.).
- The device does not come with headphones or a SIM card. It does include a charger and charging cable that may be generic, in which case it will be UL or Mfi ("Made for iPhone") Certified.
- Inspected and guaranteed to have minimal cosmetic damage, which is not noticeable when the device is held at arm's length.
- Successfully passed a full diagnostic test which ensures like-new functionality and removal of any prior-user personal information.
- Tested for battery health and guaranteed to have a minimum battery capacity of 80%.

- ✓ J. Zou, Y. Chen, E. Kanoulas, Towards Question-based Recommender Systems. *SIGIR* 2020
- ✓ J. Zou, E. Kanoulas, Towards Question-Based High-Recall Information Retrieval: Locating the Last Few Relevant Documents for Technology Assisted Reviews, TOIS 2020
- ✓ J. Zou, E. Kanoulas. Learning to Ask: Question-based Sequential Bayesian Product Search. CIKM 2019

The world of entities





- ✓ V Provatorova, S Vakulenko, E Kanoulas, K Dercksen, J Hulst: Named Entity Recognition and Linking on Historical Newspapers, CLEF 2020
- ✓ C Wu, E Kanoulas, M de Rijke: Learning entity-centric document representations using an entity facet topic model, *IPM* 2020
- ✓ C Wu, E Kanoulas, M de Rijke: It all starts with entities: A Salient entity topic model. *NLE* 2020
- ✓ CWu, E Kanoulas, M de Rijke, W Lu: WN-Salience: A Corpus of News Articles with Entity Salience Annotations. *LREC* 2020





Update the belief based on the answer



Product works and looks like new . Backed by the 90-day Amazon Renewed Guarantee . Renewed products work and look
like new . These pre - owned products are not Apple certified but have been inspected and tested by Amazon - qualified org - qualified
suppliers . Box and accessories (no headphones included) may be generic . Wireless devices come with the 90-day
Amazon Renewed Guarantee . Learn more Fully unlocked and compatible with any carrier of choice (e.g. AT&T, T - Mobile, Misc
Sprint , Verizon , US Loc , Cellular , Cricket , Metro , etc.). The device does not come with headphones or a SIM MISC
card . It does include a charger and charging cable that may be generic , in which case it will be UL or Mfi ("Made for Misc
iPhone ") Certified . Inspected and guaranteed to have minimal cosmetic damage , which is not noticeable when the MISC
Multinomial distribution over products Dirichlet distribution
$\pi^*(d) \xleftarrow{\text{conjugate}} \mathbb{P}_{n+1} = Dir(\alpha + \sum_{j=1}^n Z_j)$
$\overline{j=0}$
Generate ranking list

Example Salomon Trail IV Tight

Tracksuits

Shoes

Automotive

🗆 🗸 prime

Bangert

Brand LotMart XXR ZEZKT





User perspective on clarifying questions



✓ Zou, J., Kanoulas, E. and Liu, Y. An Empirical Study on Clarifying Question-Based Systems. CIKM 2020

User perspective on clarifying questions



✓ Zou, J., Kanoulas, E. and Liu, Y. An Empirical Study on Clarifying Question-Based Systems. CIKM 2020

User's ability to answer clarifying questions



✓ Zou, J., Kanoulas, E. and Liu, Y. An Empirical Study on Clarifying Question-Based Systems. CIKM 2020

User's ability to answer clarifying questions



✓ Zou, J., Kanoulas, E. and Liu, Y. An Empirical Study on Clarifying Question-Based Systems. CIKM 2020

<u>Challenge</u>: How to generate clarifying questions

- Train constrained question generation
- Identify what discriminates documents and use as constraints

<u>Challenge</u>: Update the belief based on the answer

		$\Delta NDCG$	Inf. need	How is a credit score determined?	
Inf. need Q_0 CQ	Drafting of Declaration of Independence all men are created equal - Would you like to learn more about Thomas Jefferson ? - No Information about Atari arcade games atari - Would you like to play atari	+18.53	Q ₀ CQ Answer	credit report - Would you like to know about the process of disputing credit report? - No	+13.57
Answer			Inf. need	5 5	
Inf. need Q ₀ CQ		+15.97	Q ₀ CQ Answer	hobby stores - Would you like to know the hours of operation? • No	
Answer	arcade games online? - No		Inf. need Q ₀ CQ Answer	 Find the TSA website, offering air travel tips air travel information Would you like to book a flight ? No 	+8.91

✓ A Krasakis, M Aliannejadi, N Voskarides, E Kanoulas: Analysing the Effect of Clarifying Questions on Document Ranking in Conversational Search. *ICTIR* 2020

4. Mixed Initiative: Complex Strategies

- 1. Provide ranking of document/passages
- 2. Provide direct answer
- 3. Ask clarifying question
 - 1. Relevance over items/documents
 - 2. Natural language questions
 - 3. Suggested questions

Decide what is the optimal next action to take


Example of Mixed Initiative

 M Aliannejadi, et al. Modelling and Measuring Conversational Search: An Analysis of Mixed Initiatives and Conversational Strategies (*under review*)

Hi, i'd like to know about Barack Obama

Barack Obama was the President of the United States from 2009 until 2017. He is a member of the Democratic Party, and was the first African-American present of the United States.

Barack Obama - Wikipedia

Barrack Hussein Obama II is an American politician and attorney who served as the 44th president of the United States

The Office of Barack and Michelle Obama

Welcome to the Office of Barack and Michelle Obama. True democracy is a project that's much bigger than any one of us.

 Would like to learn more about Barack Obama's

 Family Life
 Life before becoming President

 Career
 Time during his Presidency

Tell about his career before being president

OK, before he became president, he was visiting fellow at the University of Chicago Law School, directed Illinois' Project Vote, and then joined a law firm where he worked as a civil rights attorney.

Early Life and Career of Barack Obama - Wikipedia Barrack Hussein Obama II is an American politician and attorney who served as the 44th president of the United States from 2009-20017. A member of the ...

Show me the early life wikipedia page

Query Turn User Issues Query Agent responds

Feedback Turn User provides Feedback Agent responds

Assess Turn User selects a result Agent responds

Conversational search agent interface

- 1. a voice only CSA often via a virtual assistant
- 2. a chat based CSA (that is in-situ within a platform like Slack or Telegram
- 3. an augmented search engine interface, or
- 4. a multi-modal virtual assistants.

User Interaction Model of Conversational Search

 M Aliannejadi, et al. Modelling and Measuring Conversational Search: An Analysis of Mixed Initiatives and Conversational Strategies (*under review*)



User Interaction Model of Conversational Search

SIMULATION	Answer Question	Use Suggested Query
Feedback First		
Feedback After		



 M Aliannejadi, et al. Modelling and Measuring Conversational Search: An Analysis of Mixed Initiatives and Conversational Strategies (*under review*)

Evaluating the Cost and Benefit of CS

Cumulative gain:
$$G(t_i, ..., t_T) = \sum_{i=1}^{i=T} g(t_i)$$

Cumulative effort: $C(t_i, ..., t_T) = \sum_{i=1}^{i=T} c(t_i)$

Rate of gain:
$$R(t_i, \dots, t_T) = \frac{G(t_i, \dots, t_T)}{C(t_i, \dots, t_T)}$$

Grounding Costs

- Time as an estimation of cost.
- Crowdsourcing:
 - Feedback

• Assessment

What you do you want to know about COVID-19?						
Symptoms	Vaccine	Restrictions				
Number of cases	Not interested					

Current Information about COVID-19

https://www.rivm.nl/en/novel-coronavirus-covid-19/current-information

You are looking for current information about the novel coronavirus (COVID-19) in the Netherlands. Weekly updates with an overview of COVID-19 confirmed cases, number of ...

NOT useful

Grounding Costs

• Time as an estimation of cost.

	Submit	Assess	Assess	Provide
	Query	Snippet	Document	Feedback
Mean	29.33	6.26	16.96	8.33
Median	22.59	3.63	10.22	5.77

Analyzing Strategies



Analyzing Mixed Initiative



Analyzing Mixed Initiative

Dataset	Dialogues	Domain	Туре	Subtype	Modality	Source	Setup	Dial. len.	Utt. len.	Utt./turn
MSDialog [23]	35,500	tech	info-seek	IN	text	forum	natural	9 (25)	67 (87)	1 (3)
MANTIS [22]	1,400	multi	info-seek	IN	text	forum	natural	4 (1)	98 (160)	1 (0)
OCLC ^a	560	library	info-seek	IN	text	chat	natural	25 (20)	12 (15)	1 (1)
Ubuntu [20]	1,200,000	tech	info-seek	IN	text	chat	natural	6 (8)	10 (9)	1 (1)
SCSdata [35]	37	web	info-seek	IS	speech	chat	simulated	27 (21)	16 (25)	1 (0)
MISC [34]	110	web	info-seek	IS	speech	chat	simulated	120 (47)	7 (7)	2 (2)
ReDial [17]	10,000	movies	info-seek	IS	text	chat	simulated	18 (5)	6 (5)	1 (0)
CCPE [25]	502	movies	info-seek	IS	text	chat	simulated	23 (6)	12 (13)	1 (0)
Qulac [?]	10,277	web	info-seek	IS	text	\mathbf{task}^b	simulated	3 (0)	8 (3)	1 (0)
QuAC [7]	11,600	Wikipedia	info-seek	IS	text	chat	simulated	14 (4)	9 (7)	1 (0)
MultiWOZ [?]	10,000	multi	task-orient	TO	text	chat	simulated	13 (5)	13 (6)	1 (0)
Meena/Mitsuku* [1]	100	open	social	CC	text	chat	simulated	18 (4)	8 (10)	1 (0)
Meena/Meena* [1]	91	open	social	CC	text	chat	simulated	19 (5)	6 (4)	1 (0)
Meena/Human [1]	95	open	social	CC	text	chat	simulated	15 (2)	13 (10)	1 (0)
DailyDialog [18]	11,000	multi	social	CC	text	book ^c	simulated	7 (4)	13 (10)	1 (0)
PersonaH [29]	102	personal	social	KG	text	chat	simulated	12 (0)	9 (4)	1 (0)
WoW [12]	22,000	Wikipedia	social	KG	text	chat	simulated	9 (1)	16 (7)	1 (0)
OpenDialKG [21]	13,800	Freebase	social	KG	text	chat	simulated	6 (2)	12 (6)	1 (0)

✓ S Vakulenko, E Kanoulas, M de Rijke, An Analysis of Mixed Initiative and Collab. in Information-Seeking Dialogues, *SIGIR 2020* ✓ S Vakulenko, E Kanoulas, M de Rijke, Large Scale Analysis of Mixed Initiative in Information-Seeking Dialogues for Conversational Search (*under review*)

Analyzing Mixed Initiative



✓ S Vakulenko, E Kanoulas, M de Rijke, An Analysis of Mixed Initiative and Collab. in Information-Seeking Dialogues, *SIGIR 2020* ✓ S Vakulenko, E Kanoulas, M de Rijke, Large Scale Analysis of Mixed Initiative in Information-Seeking Dialogues for Conversational Search, *under review*

Summing up ...

Agent

- 1. Provide ranking of document/passages
- 2. Provide direct answer
- 3. Ask clarifying question
 - 1. Relevance over items/documents
 - 2. Natural language questions
 - 3. Suggested questions

Evaluation

- 1. Simulate mixed innitiative
- 2. Decide costs/gains
- 3. Develop evaluation measures





























Thanks!